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<p>(54) Title: <b>CHARACTER RECOGNITION</b></p> <p>(57) Abstract</p> <p>The invention relates to a method for character recognition comprising the steps of: detecting a union of characters, preprocessing the union of characters, comparing the preprocessed union of characters with one or more template symbols, and applying a decision rule in order to either reject a template symbol or decide that the template symbol is included in the union of characters. The step of preprocessing the union of characters comprising the steps of: representing the union of characters as one or more curves, and parameterising said curve or curves, and regarding various classes of transformation forming one or more shapes for said curve or curves. The step of comparing comprises the steps of: forming one or more geometric proximity measures, and determining for every shape the values of said geometric proximity measures between said shape and correspondingly determined shapes for the template symbols. Finally, the step of applying a decision rule comprises the step of: selecting one or more template symbols in consideration of said values.</p> <div data-bbox="730 1197 1347 1407"><p>A hand-drawn diagram of a lowercase letter 'a'. The letter is composed of a series of connected dots. Four specific points are labeled with coordinates: <math>x_1(0), x_2(0)</math> at the top right, <math>x_1(0,2), x_2(0,2)</math> at the top left, and <math>x_1(1), x_2(1)</math> at the bottom right. The diagram illustrates the geometric proximity measures used in the character recognition method.</p></div>		

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CHARACTER RECOGNITIONTechnical Field

The present invention relates to a method for character recognition according to the preamble to claim 1.

5 "Character" is in this compound neutral regarding number, i.e. separate characters, such as letters and numerals, as well as compositions of several characters, such as words, are here referred to. Both generally used characters and imaginary characters are, of course, included.

10

Background Art

There are a plurality of known methods for character recognition, especially for recognition of handwritten characters, which requires especially good interpretation  
15 of the character. Several of the known methods are based on the detection of each stroke of the pen when a handwritten character is being formed. Geometric characteristics, such as directions, inclinations and angles of each stroke or part of a stroke, are determined and compared  
20 to corresponding data for stored, known characters. The written character is supposed to be the stored character whose geometric characteristics best correspond to the geometric characteristics of the written character. The geometric characteristics are related to an xy-coordinate  
25 system, which covers the used writing surface. Such known methods are disclosed in, for instance, US-5,481,625 and US-5,710,916. A problem in such methods is that they are sensitive to rotation. For example, if one writes diagonally over the writing surface, the method has difficulties  
30 in correctly determining what characters are being written.

US-5,537,489 discloses a method for preprocessing the characters by normalising them. The written character is sampled, and each sample is represented as a pair of

coordinates. Instead of solely comparing the characters in the coordinate plane, the transformation is determined which best adjusts the written character to a model character. Indirectly, also rotation and certain types of deformations, which the above-mentioned methods cannot handle, are thus taken into account. The transformation is used to normalise the written character. In particular, the character is normalised by being translated so that its central point is in the origin of coordinates, where also the central point of the model character is found, after which the character is scaled and rotated in such a manner that it corresponds to the model character in the best possible way.

A disadvantage of this method is that the normalisation requires computing power and that in any case the choice of model characters has to take place by determining what model character the written character resembles the most.

Another method which certainly can handle rotations is disclosed in US-5,768,420. In this known method, curve recognition is described by means of a ratio that is named "ratio of tangents". A curve, for instance, a portion of a character is mapped by selecting a sequence of pairs of points along the curve, where the tangents in the two points of each pair intersect at a certain angle. The ratio between the distances from the intersection point to the respective points of the pair is calculated and makes up an identification of the curve. This method is in principle not sensitive to translation, scaling and rotation. However, it is limited in many respects. Above all, it does not allow certain curve shapes in which there are not two points whose tangents intersect at the determined angle. It is common that at least portions of a character comprise such indeterminable curve shapes for a selected intersection angle. This reduces the reliability of the method.

Summary of the Invention

An object of the invention is to provide a method for character recognition, which does not have the above-mentioned disadvantages, and which to a larger extent ac-  
5 cepts individual styles of handwritten characters and unusual fonts of typewritten characters, and is easy to implement with limited computing power.

The object is achieved by a method according to the invention as defined in claim 1.

10 According to the invention, the term "template symbol" means, as defined in the claim, everything from a portion of a separate character, the portion being, for instance, an arc or a partial stroke and the character being a letter or a numeral, to compound words or other  
15 complex characters. In a similar way, the term "union of characters" means everything from a separate character to compositions of several characters. The extension of the mentioned terms will be evident from the following description of embodiments.

20

Brief Description of the Drawings

The invention and further advantages thereof will be described in more detail below by way of embodiments referring to the accompanying drawings, in which

25 Fig. 1 shows an example of a union of characters which comprises a handwritten character, and which illustrates some steps in a preferred embodiment of the method according to the invention,

Figs 2 and 3 show examples of various transformations of a union of characters which comprises a handwritten character,  
30

Fig. 4 shows an example of recognition of a union of characters which comprises several characters, and

Fig. 5 shows an embodiment of a device for carrying  
35 out the method.

Description of Embodiments

According to the invention, the method for character recognition comprises a number of main steps:

- a) a union of characters is detected,
- 5       b) the union of characters is preprocessed,
- c) the preprocessed union of characters is compared with one or more template symbols, and
- d) a decision rule is applied in order to determine whether or not any one of the template symbols is in-
- 10       cluded in the union of characters.

According to a preferred embodiment, the various main steps are carried out in accordance with the following description. The embodiment is preferably intended for recognising unions of characters that are written on  
15       a pressure-sensitive display, which is available on the market. It should be noted that the invention is just as useful for recognising typewritten as handwritten unions of characters that originate from a hard copy, which for instance is scanned into a computer. An embodiment which  
20       is particularly adapted to recognition of typewritten, scanned unions of characters will be described below. In the following description of the steps of this embodiment, it will for the sake of simplicity be presumed that the union of characters comprises one character.

25       In step a), points on the character are detected at regular time intervals at the same time as the character is being written on the pressure-sensitive display. Thus, an ordered sequence of points is obtained. In step b), the following operations are carried out. By interpolation  
30       between the points, a curve representation of the character is generated. The curve representation comprises one or more curves which pass through the sequence of points. Any lifting of the pen is detected to prevent the interpolation from extending over spaces between  
35       points where the pen has been lifted. The interpolation results in characters such as "t", "ä" and "s" being considered to consist of one or more curves. Each curve or

composition of curves is perceived holistically as an indivisible geometric unit. This means, for instance, that the method according to the invention in many ways operates on complete characters (global character interpretation). Each point is represented as two coordinates, which indicate the position of the point in the limited plane that the display constitutes. One of the coordinates which in the following will be called  $x_1$  indicates the position laterally and the second, which will be named  $x_2$  below indicates the position in the vertical direction. The curve is conveniently parameterised as  $\phi(t) = (\phi_1(t), \phi_2(t))$ ,  $a \leq t \leq b$ , where, for the sake of simplicity,  $a=0$  and  $b=1$  and are sampled in a number  $n$  of points  $t_1 < t_2 \dots < t_n$  according to any suitable parameterisation rule. To begin with, arc length is the rule according to which the parameterisation is preferably carried out, which means that the points become equidistantly located. It is to be noted that because of the irregular speed of motion of the writer, this is not the case with the initial coordinate samples. The use of the arc length can be seen as a standardisation of the parameterisation, which facilitates the following comparison with template symbols, which are parameterised and sampled in a corresponding manner. For some classes of transformation it may be necessary to reparameterise, which will also be described below.

In order to compare the character with template symbols it is necessary to shape a representation which allows quantitative comparisons. Some deviations from a template symbol defined in advance have to be allowed, i.e. for instance an "a" has to be interpreted as an "a", even if with respect to its shape, it differs to a certain extent from the template symbol. According to the invention, a definition is applied that is based on different transformations. Depending on demands for flexibility and exactness, various classes of transformation may be allowed, the classes comprising one or more types

of transformation such as translation, rotation, scaling, shearing and reflection. This is illustrated in Figs 2 and 3. Fig. 2 shows a handwritten "a" in box 2a. The other three characters have been subjected to various affine transformations. The class of transformation which is comprised by the affine transformations allows rotation, shearing, reflection, scaling and translation. The characters in boxes 2b and 2c have been subjected to translation, rotation, scaling and shearing in relation to the character in box 2a. The character in box 2d has been subjected to translation, reflection, rotation and scaling.

Fig. 3 illustrates positive similarity transformations that only comprise scaling, rotation and translation. In accordance with this embodiment of the method according to the invention, permissible deviations are limited to positive similarity transformations. This means that a written character or part of a character, which by a suitable combination of scaling, rotation and translation can be brought into correspondence with a template symbol, is interpreted as the same character or part of the character which is represented by the template symbol. The correspondence does not have to be complete, which will be described below.

The representation, which according to this invention is to be preferred, is provided by forming an invariant of the parameterised curve. Useful invariants should according to the invention allow an interpretation that is close to the interpretation a human being makes of a particular character. This means that characters which a human being with great accuracy of aim is able to interpret correctly, i.e. interpret as the characters which the writer says that he or she has written, should be interpreted correctly and with great accuracy of aim by the method according to the invention. It is thus important that a constructed invariant is selective in a well-balanced way. According to the invention, invariants



are therefore constructed on the basis of the following definition. If  $\phi$  is a parameterised curve according to the above, and  $G$  is a group of transformations of curves, then the union is named  $d(\phi) = \{\psi \mid \psi = g(\phi), g \in G\}$  and equivalent rewritings thereof are called the shape of  $\phi$ . It will be appreciated by those skilled in the art that the definition allows many possible invariants, which, however, all have in common that they handle the curve as the above-mentioned indivisible unit.

10 According to the preferred embodiment of the invention, the shape corresponding to the group of positive similarity transformations is given by  $s(\phi) = \text{linhull}(\{(\phi_1, \phi_2), (-\phi_2, \phi_1), (1, 0), (0, 1)\})$ , i.e. a linear space constructed from the parameterised curve  $\phi$ . As will be appreciated by those skilled in the art,  $s(\phi)$  is precisely  
15 an equivalent paraphrase of  $d(\phi)$ . In practice, the use of this shape implies that all parameterised curves, which can be transferred into each other by positive similarity transformations, have the same linear space as shape.

20 On the contrary, according to another embodiment of the invention, affine transformations are permissible. Then the shape, after rewriting, is given by  $s(\phi) = \text{linhull}(\phi_1, \phi_2, 1)$  which is described in more detail in, for instance, "Extension of affine shape", Technical report,  
25 Dept. of Mathematics, Lund Institute of Technology 1997, by R. Berthilsson.

In step c), the shape of the written character is compared with correspondingly formed shapes for a number of template symbols. In this embodiment of the invention,  
30 the template symbols are by way of introduction provided by letting a user write by hand on the display all the characters that he or she might need, one at a time, which are processed in accordance with the above-described steps a) and b) and are stored as template symbols.  
35 As mentioned above, each template symbol comprises one or more curves, which represent a portion of a character or the complete character, which in practice means

that several template symbols may be required to build a character. However, as will be further developed below, a template symbol can, on the contrary, also represent a sequence of several characters.

5 According to the invention, one way to compare the shapes is to use a geometric measure of proximity. For the above formed shapes according to the preferred embodiment and the alternative embodiment, respectively, a geometric proximity measure  $\mu$  for shapes, which comprise  
10 linear sub-spaces within the space of possible parameterised curves  $S$ , may be used. An example of such a geometric proximity measure is:

$$\mu = \|(I - P_{s(\phi)} P_{s(\psi)})\|_{HS}$$

15

where  $HS$  represents the Hilbert-Schmidt norm and  $I$  is the identity.

In the definition,  $s(\phi)$  and  $s(\psi)$  represent such linear sub-spaces.  $P_{s(\phi)}$  and  $P_{s(\psi)}$  further represent orthogonal  
20 projections onto  $s(\phi)$  and  $s(\psi)$ , respectively.  $HS$  represents the Hilbert-Schmidt norm and  $I$  is the identity. The calculation of the geometric proximity measure  $\mu$  includes selecting a scalar product.

A general example of a scalar product of two functions  $\phi(t)$  and  $\psi(t)$  with values in  $\mathbb{R}^n$  is:  
25

$$\sum_{k=0}^l \int \frac{d^k \phi(t)}{dt^k} \cdot \frac{d^k \psi(t)}{dt^k} dm_k$$

where  $dm_k$  are positive Radon measures and  $\cdot$  represents the  
30 scalar product on  $\mathbb{R}^n$ .

Since each sampled curve comprises a plurality of points, each with two coordinates, it is convenient to use matrix notation for comparative processing of the shapes. The steps of describing the curves in matrix no-

tation and constructing a geometric proximity measure can be described and carried out mathematically as follows.

Let us name the curve of the detected character  $\psi(t)=(\psi_1(t), \psi_2(t))$ ,  $0 \leq t \leq 1$ , and the curve of a template symbol  $\phi(t)=(\phi_1(t), \phi_2(t))$ ,  $0 \leq t \leq 1$ . By sampling the curve at the points of time  $0=t_1 < t_2 \dots < t_n=1$ , the following matrices may be formed

$$M_1 = \begin{pmatrix} \phi_1(t_1) & -\phi_2(t_1) & 1 & 0 \\ \phi_1(t_2) & -\phi_2(t_2) & 1 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ \phi_1(t_n) & -\phi_2(t_n) & 1 & 0 \\ \phi_2(t_1) & \phi_1(t_1) & 0 & 1 \\ \phi_2(t_2) & \phi_1(t_2) & 0 & 1 \\ \vdots & \vdots & \vdots & \vdots \\ \phi_2(t_n) & \phi_1(t_n) & 0 & 1 \end{pmatrix} \quad M_2 = \begin{pmatrix} \psi_1(t_1) & -\psi_2(t_1) & 1 & 0 \\ \psi_1(t_2) & -\psi_2(t_2) & 1 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ \psi_1(t_n) & -\psi_2(t_n) & 1 & 0 \\ \psi_2(t_1) & \psi_1(t_1) & 0 & 1 \\ \psi_2(t_2) & \psi_1(t_2) & 0 & 1 \\ \vdots & \vdots & \vdots & \vdots \\ \psi_2(t_n) & \psi_1(t_n) & 0 & 1 \end{pmatrix}$$

10

The matrices are QR-factorised in a manner known to those skilled in the art, such that  $M_1=Q_1R_1$  and  $M_2=Q_2R_2$ , where  $Q_1$  and  $Q_2$  are orthogonal matrices and  $R_1$  and  $R_2$  are upper triangular. The matrices  $Q_1$  and  $Q_2$  represent the shapes of the detected character and the template symbol, respectively, given the parameterisations and the sampling.

15

A geometric proximity measure  $\mu$  may be constructed as follows

20

$$\mu(Q_1, Q_2) = \|Q_2 - Q_1 Q_1^T Q_2\|_F^2$$

25

where the norm  $\|\cdot\|_F$  denotes the Frobenius norm. When  $l=0$  and  $dm_0$  is the usual Lebesgue measure on the interval  $[0, 1]$ , in the above general example of a scalar product, exactly this geometric proximity measure is obtained. The choice of scalar product affects the performance of the method.

30

After the determination of the values of the proximity measure between the shape of the detected character and the shapes of all or a sub-union of the template sym-

bols, step d) is carried out. In this step, each value is compared with an individual acceptance limit which is defined for each template symbol. The template symbols whose values of the proximity measure are smaller than  
5 their respective acceptance limits are considered plausible interpretations of the written character. Of these plausible interpretations, the template symbol is selected whose value is the smallest. On the contrary, if no value is smaller than its acceptance limit, a refined  
10 determination is made. The acceptance limits may also be one and the same for all of the template symbols. An advantage of using individual acceptance limits is that more complicated characters, such as "@", tend to have a fairly high value of the proximity measure also in case  
15 of correspondence, while simpler characters, such as "l", generally have a low value of the proximity measure in case of correspondence. Further variants are possible, some of which will be described below.

Theoretically, the proximity measure has to fulfil  
20  $\mu(s(\phi), s(\psi))=0$  when  $\phi$  and  $\psi$  are parameterisations of the same curve when the curves are obtained from each other with a positive similarity transformation. Since people when writing do not exactly stick to the permissible similarity transformations of the template symbols, the  
25 acceptance limits should, however, be selected to be greater than zero.

On the one hand, the acceptance limits are therefore determined to be values which are  $>0$ , and on the other  
30 hand the case where no value is smaller than its acceptance limit is not interpreted as if the written character does not have an equivalent among the template symbols. Instead, according to this embodiment a reparameterisation is carried out, since the parameterisation affects the final result to a fairly large extent. A pre-  
35 ferred reparameterisation of the curve  $\psi$  means that it is put together with a one-to-one function  $\gamma: [0,1] \rightarrow [0,1]$ . For instance  $\gamma(t)=1-t$  fulfils this, which means that the

character is written in the opposite direction. What sort of reparameterisation has to be done is determined by solving the problem of minimisation

$$\min_{\gamma} \mu(s(\phi), s(\psi \circ \gamma))$$

where the minimisation is performed over all of the  $\gamma$  which have been described above.

The above-described steps are then repeated and new values of the proximity measure are obtained. If none of these is below its acceptance limit, the written character is rejected and the user is informed about this, for instance by requesting him or her to rewrite the character. If one wishes to speed up the determination of the proximity measure after the reparameterisation, a group consisting of the smallest, for example the three smallest, values of the proximity measures from the first determination can be selected and in the second determination, only be compared with the template symbols that are included in the group. However, in certain cases this may produce a final result other than in the case where all the template symbols are taken into consideration in the second determination.

The geometric proximity measure  $\mu$  does not only result in a ranking order between different interpretations of a character, but it also gives a measure of how similar two characters are. This yields the possibility of also using the present method for verification and identification, respectively, of signatures (initials are here perceived as signatures). In this use, the arc-length parameterisation is, however, not a preferred type of parameterisation since it excludes information of the dynamics when writing. Such information is valuable in this use. There are, however, other variants that are more suitable.

The preferred embodiment has hitherto been described on the basis of the fact that there are suitable template

symbols with which the written character can be compared. Furthermore, the description has been made for one character. Normally, it is not separate characters, but running text with complete words that are written on the display. From the user's point of view, it is desirable to be able to write running text, which demands much of the method.

A problem in the context is that the union of characters may contain a plurality of character combinations. It is unreasonable to ask the user to write all possible characters or words as template symbols.

At the same time, it is advantageous if a limitation of the shapes of the writing can be avoided. If the user were strictly limited, for instance, only allowed to write one character at a time so that the above-described case always exists, the situation is relatively clear, but not user-friendly. According to the invention, the user is allowed to write running text. It is thus difficult to know where in the curve/curves, for instance, a character ends and starts. The points indicating the beginning and the end of a character are named breakpoints, and finding possible breakpoints adds complexity to the problem of recognition. This problem of complexity is solved in accordance with an embodiment of the method according to the invention in the following manner. It should be mentioned that the above steps are carried out in the same way in this embodiment. The following description essentially concerns the step of preprocessing the union of characters and the step of comparing.

If the pen is lifted after each character in a word, this may be taken advantage of. Each lifting of the pen gives rise to a discontinuity and may be detected by two points being relatively far apart in space or time. Naturally, this detection is carried out before the arc-length parameterisation. The union of characters here consists of  $n$  curves. The points of discontinuity may be taken as plausible breakpoints to distinguish two charac-

ters from one another. This focuses on the problem of characters containing several strokes that are being written by lifting the pen in between. Such a character will be represented by several curves by means of the detection of discontinuity. However, each curve may be parameterised with rescaled arch-length, which means that each curve contains the same number of sampling points. Assume that  $l_1, l_2, \dots, l_n$  are the curves and that  $s_k$  is a composition of the curves 1 to  $k$ . Compare the compositions of curves  $s_1, s_2, \dots, s_k$  with the database of template symbols, where  $k$  is the largest number of curves included in any template symbol. Assume that  $s_{k1}$  is the longest composition of curves which gives correspondence/correspondences, i.e. which, when comparing with template symbols, gives one or more values of proximity measures that are below the acceptance limit/acceptance limits. Even if  $s_{k1}$  corresponds to one or more template symbols, it is not certain that this gives a correct interpretation. In accordance with this embodiment of the method, a plausibility test is therefore carried out, which will be described below. If the interpretation is not plausible,  $s_{k1}$  is shortened to the longest composition of curves  $s_{k2}$  but one, which gives correspondence. The plausibility test is carried out once more.

If no interpretation is plausible for any  $s_k$ , the best interpretation of  $s_1$  is selected. The remaining curves are processed correspondingly. Only the points of discontinuity are not sufficient as plausible breakpoints as far as coherent writing is concerned, but there may also be breakpoints within a curve. It is to be noted that as a matter of fact the above procedure to find breakpoints is achieved with reparameterisations of the composition of all written curves.

The term "plausibility tests" covers, inter alia, so-called confidence sets. The above reasoning of the recognition of unions of characters consisting of several characters, and characters consisting of several curves,

respectively, will now be exemplified by means of Fig. 4, the confidence sets being used as plausibility tests.

Assume that the written character is "äta" (English "eat"), i.e. a complete word written in accordance with Fig. 4a. By means of detection of discontinuities and reparameterisation with rescaled arch length, "ä" has been identified and "t" is the next in turn. The horizontal as well as the vertical stroke can be interpreted as an "l", i.e. "t" can be interpreted as "ll". The template symbols are stored with associated confidence sets according to Fig. 4b, where the template symbols "l" and "t" are shown with the respective confidence sets as the shaded area. Assume that the vertical stroke of "t" is interpreted as the template symbol "l". The transformation  $a:5^2 \rightarrow 5^2$  may then be determined - within the class that generates the shape - which transfers the template symbol in the vertical stroke. If a is applied to the confidence set, the result of Fig. 4c is achieved. The next curve, i.e. the horizontal stroke, is in the confidence set, which is forbidden, and the interpretation is classified as implausible. The confidence sets do not need to be identified by only straight strokes, as those skilled in the art will realise, but may have a more general appearance. To each template symbol another confidence set can be connected which contains the first set. If then the next curve is also outside the second confidence set, it will be interpreted as if the next character is the first one in a new word.

An alternative plausibility test means that the transformation which was determined in the description of confidence sets is studied. If the transformation is beyond a certain scope, the interpretation will be classified as implausible. Such scope may, for instance, determine how much the transformation is allowed to turn the character in relation to how much earlier interpreted characters have been turned. Also excessive deformations may be excluded. In order to distinguish, for example,



"S" from "s", the enlargement of the transformation can be calculated in relation to the enlargement of symbols that have been interpreted before.

The above-described embodiments of the method according to the invention should only be seen as non-limiting examples, and many modifications apart from the above-mentioned ones are possible within the scope of the invention as defined in the appended claims. Examples of further such modifications follow below.

10 As an alternative to the above-described reparameterisation, the decision is taken directly on the basis of the first determined smallest value of proximity measure.

15 Examples of other modifications are the choice of another proximity measure, various choices of values of acceptance limits that demand a certain adaptation to various users, different types of reparameterisation and different types of shape, for instance, an affine shape.

20 As far as various types of shape are concerned, two or more shapes are, as an alternative, used in parallel for each union of characters. This means that several invariants are provided for each union of characters and are then processed in parallel in the following steps. This gives a higher degree of accuracy and a faster recognition.

25 In practice, the method according to the invention can be used, for instance, in electronic notebooks and the like and in mobile telephones with an enhanced possibility of communication by a writable window.

30 The method according to the invention can be implemented as a computer program in a computer by using a commercially available programming language for mathematical calculations, such as C, C++ or FORTRAN, or as a specially built device according to the invention, which  
35 will be described below. In both cases, the template symbols are stored as a database. If needed, the database can be changed.

As shown in Fig. 5, an embodiment of a device, which realises the method, comprises a pressure-sensitive display 42, a display communication unit 44 with a detector 46, a control unit 48, a memory control unit 50, a memory unit 52 and a processing unit 54. The display communication unit 44, the control unit 48, the memory control unit 50 and the processing unit 54 communicate via a bus 56 transferring data, address and control signals between the units. Unions of characters are written on the display 42 and are detected by the detector 46, which provides the ordered sequence of points. In the memory unit 52, the template symbols and the detected unions of characters are stored. By means of the processing unit, calculation operations are carried out, which comprise the interpretation of the sequences of points as one or more curves, the parameterisation of each curve, the comparison of the preprocessed union of characters with template symbols and the application of the decision rule. In the memory unit 50, also software for carrying out the method is stored. The control unit 48 runs the program and communicates with the user via the display communication unit 44 and the display 42.

The device is also adapted for optional settings which, inter alia, may comprise the choice of shapes, the choice of proximity measure, the choice of parameterisations and the choice of decision rule. The choices are made via the display 42.

Above, the description has essentially been made on the basis of the characters being written on a display and being detected at the same time as they are written. An alternative is that the characters are detected, for instance scanned, as they are already written on a piece of paper. This concerns handwritten characters as well as typewritten ones. Thus, the detection comprises, instead of the operation of recognising the display writing, the operation of reading (scanning) the characters on the piece of paper. Advantageously, read data is transformed

into said ordered sequence of points by edge detection. However, it is also a modification within the scope of the invention. In this embodiment, the preprocessing comprises forming one or more characteristic curves, for instance the edge curve or edge curves of the character, on the basis of said edge detection and parameterisation. When the edge curves thus have been defined, the following steps are the same as in the above-described, preferred embodiment.

10       The decision rule may be selected in many different ways. A variant of the above-mentioned is that all the template symbols for which the value of the proximity measure below the acceptance limit is selected. Subsequently, the template symbols may be processed further in accordance with any refined determination of the above-described type. It is also possible to make a combination with another selection method, which points out the most plausible alternative. One example of such a method is statistics of characters that indicate the probability of the presence of separate characters or compositions of characters in texts.

Moreover, an alternative for determining the acceptance limits is that the template symbols are grouped, in which case the same limit applies within a group.

25       The method according to the invention is reliable in that it is able to recognise rather deformed characters and manages running text. The contents of the database are not crucial, but in principle a set of separate characters is sufficient. In order to recognise a variety of fonts and handwritings with a high degree of accuracy, it may, however, be an advantage to store several variants of each character, which comprise deformations that are outside the class of transformation which is appropriate and permissible in the comparison. It may also be advantageous to store certain compositions of characters, for instance to be able to more safely distinguish two l's "ll", which are connected, from "u".

## CLAIMS

1. A method for character recognition comprising the  
5 steps of:
- detecting a union of characters,
  - preprocessing the union of characters,
  - comparing the preprocessed union of characters  
with one or more template symbols, and
  - 10 - applying a decision rule in order to either reject  
a template symbol or decide that the template symbol is  
included in the union of characters, the step of preproc-  
essing the union of characters comprising the steps of:
    - representing the union of characters as one or  
15 more curves, and
    - parameterising said curve or curves, c h a r -  
a c t e r i s e d in that the step of preprocessing the  
union of characters further comprises the step of form-  
ing, regarding various classes of transformation, one or  
20 more shapes for said curve or curves, and that the step  
of comparing comprises the steps of:
      - forming one or more geometric proximity measures,
      - determining for every shape the values of said  
geometric proximity measures between said shape and cor-  
25 respondingly determined shapes for the template symbols,  
and that the step of applying a decision rule comprises  
the step of:
        - selecting one or more template symbols in consid-  
eration of said values.
- 30 2. A method as claimed in claim 1, c h a r a c -  
t e r i s e d in that the step of detecting a union of  
characters comprises the step of representing the union  
of characters as a set of points, and that the step of  
representing the union of characters as one or more  
35 curves comprises the steps of:
  - generating an ordered sequence of points from said  
set of points, and

- interpolating between the points to generate said one or more curves.

3. A method as claimed in claim 1 or 2, c h a r -  
a c t e r i s e d in that the step of parameterising com-  
5 prises the steps of:

- arranging according to a convenient rule of param-  
eterisation a function which follows the curve, and  
- sampling the function in a plurality of equidis-  
10 tant points.

4. A method as claimed in claim 3, c h a r a c -  
t e r i s e d in that the rule of parameterisation is an  
arc length.

5. A method as claimed in any one of the preceding  
claims, the union of characters being detected on a dis-  
15 play on which it is written directly, c h a r a c t e r -  
i s e d in that the step of detecting is carried out  
during the writing.

6. A method as claimed in any one of claims 1-4,  
c h a r a c t e r i s e d in that the union of characters  
20 is detected in a data quantity that originates from a  
scanner.

7. A method as claimed in claim 6, c h a r a c -  
t e r i s e d in that the step of preprocessing the union  
of characters comprises edge detecting the union of char-  
25 acters.

8. A method as claimed in any one of the preceding  
claims, c h a r a c t e r i s e d in that the step of ap-  
plying a decision rule comprises determining acceptance  
limits of the values of said proximity measures and se-  
30 lecting a template symbol only if at least one value re-  
lated to the template symbol is within said acceptance  
limits.

9. A method as claimed in claim 8, c h a r a c -  
t e r i s e d in that individual acceptance limits are  
35 assigned to each template symbol.

10. A method as claimed in claim 8, c h a r a c -  
t e r i s e d in that at least two template symbols have  
the same acceptance limits.

11. A method as claimed in any one of claims 8-10,  
5 c h a r a c t e r i s e d in that the step of reparameter-  
ising a parameterised curve if all the values of said  
proximity measures between the shape of the parameterised  
curve and the template symbols are beyond the acceptance  
limits so that one or more values of the corresponding  
10 proximity measure decreases between the template symbols  
and the shape of the reparameterised curve.

12. A method as claimed in any one of claims 8-11,  
c h a r a c t e r i s e d in that the acceptance limits  
are determined on the basis of the fact that only simi-  
15 larity transformations are permitted.

13. A method as claimed in any one of the preceding  
claims, c h a r a c t e r i s e d in that the step of ap-  
plying a decision rule comprises carrying out a plausi-  
bility test of the selected template symbols.

20 14. A method as claimed in claim 13, c h a r a c -  
t e r i s e d in that the plausibility test is based on  
the confidence sets.

15. Use of the method as claimed in any one of the  
preceding claims for verification or identification of  
25 signatures.

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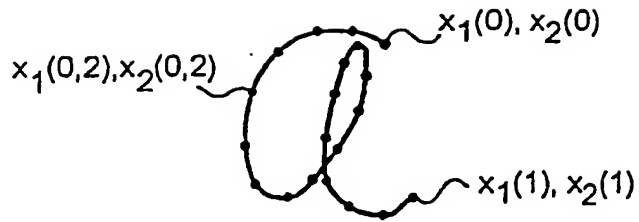


Fig. 1



Fig. 4a

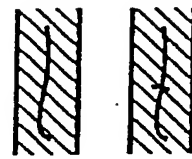


Fig. 4b

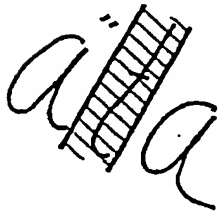


Fig. 4c

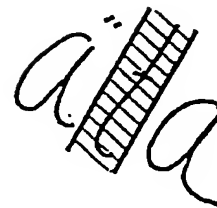


Fig. 4d

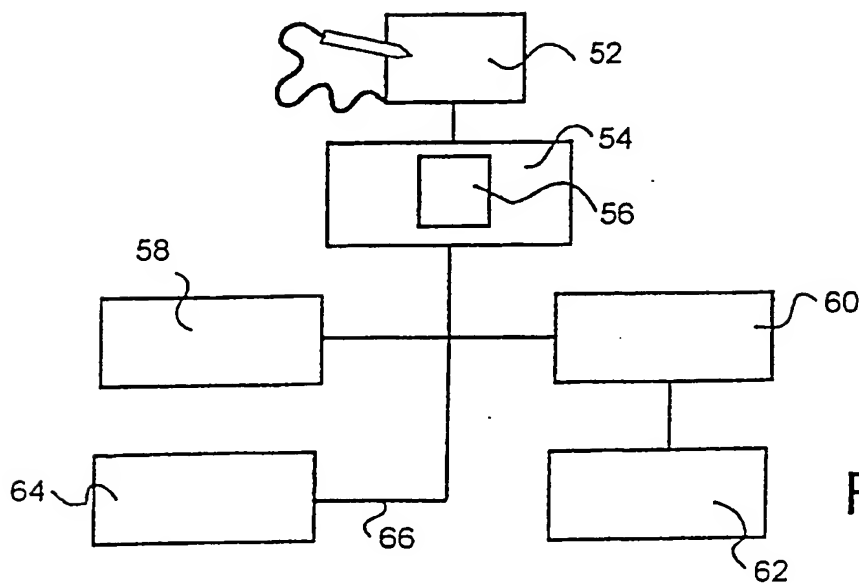


Fig. 5

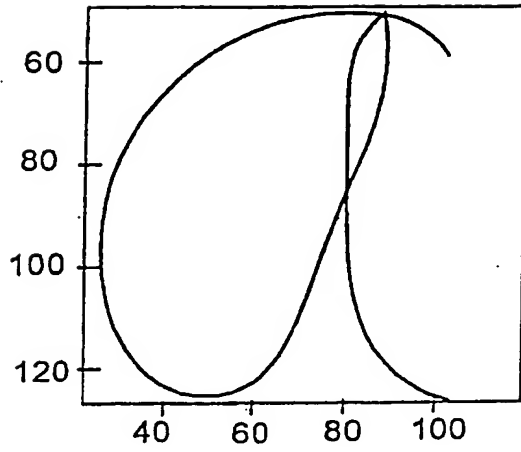


Fig. 2a

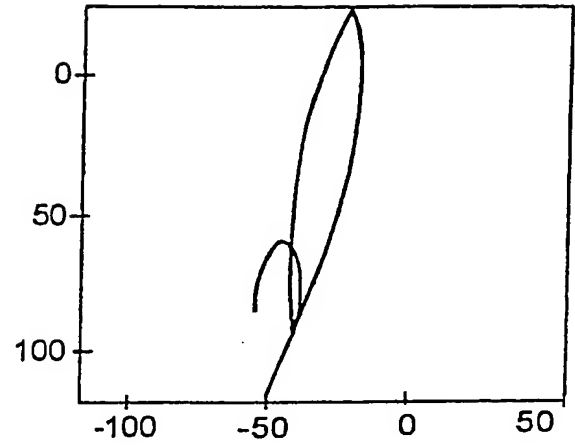


Fig. 2b

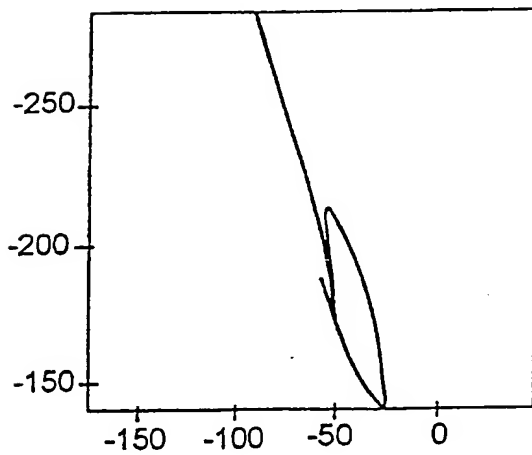


Fig. 2c

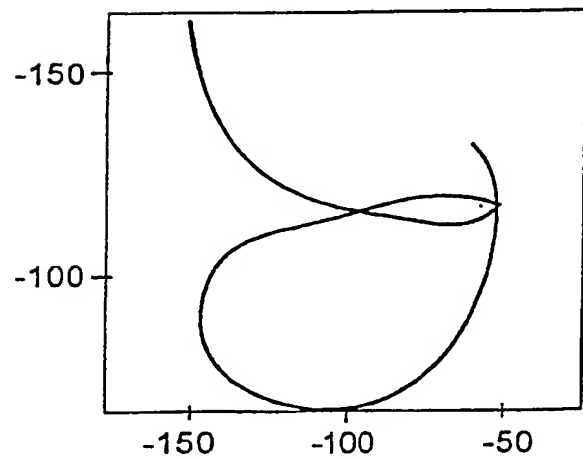


Fig. 2d



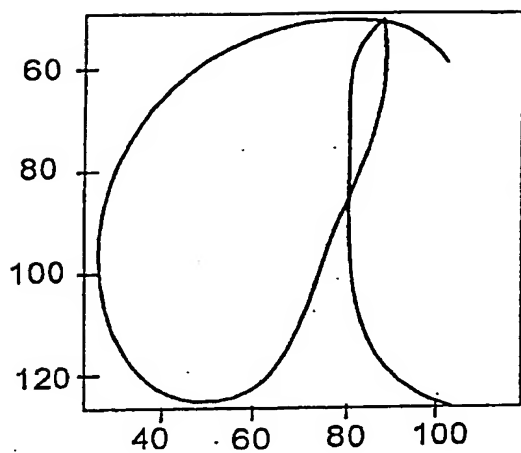


Fig. 3a

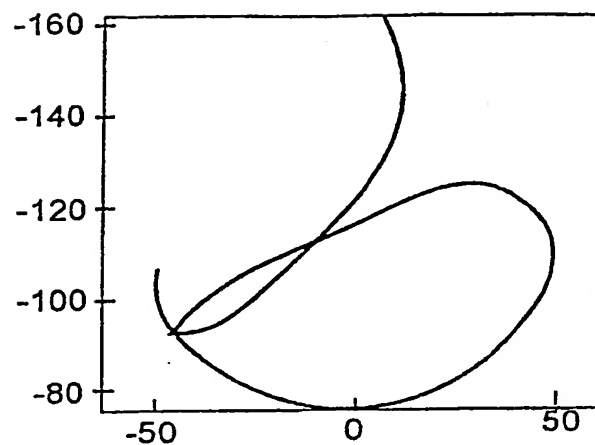


Fig. 3b

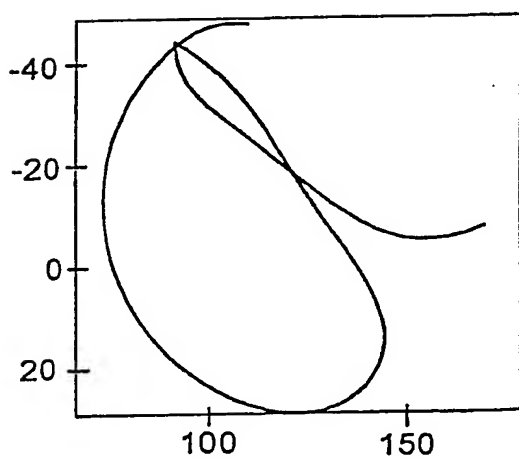


Fig. 3c

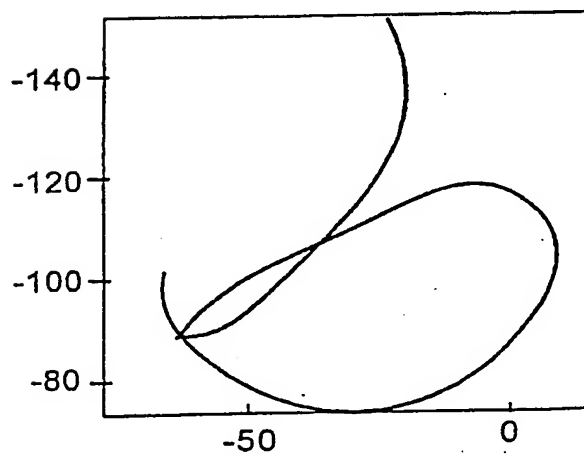


Fig. 3d

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 99/01448

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: G06K 9/00, G06K 9/68

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: G06K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5768420 A (M.BROWN ET AL.), 16 June 1998 (16.06.98), column 3, line 53 - column 4, line 17; column 5, line 14 - line 34; column 6, line 42 - line 58 --	1-10,12-14
A	US 55598897 A (M.K.BROWN ET AL.), 24 Sept 1996 (24.09.96), column 10, line 28 - line 35. --	1-14
A	EP 0782090 A2 (AT & T CORP), 2 July 1997 (02.07.97), abstract --	1-14

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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Date of the actual completion of the international search

10 January 2000

Date of mailing of the international search report

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 99/01448

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	WO 9720286 A1 (MOTOROLA INC.), 5 June 1997 (05.06.97), page 15, line 5 - line 14 -- -----	13-14

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

02/12/99

International application No.

PCT/SE 99/01448

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